



Patent  
Attorney's Docket No. 025219-268

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Bernard Aspar, et al. ) Group Art Unit: Unassigned  
Application No.: 09/600,590 ) Examiner: Unassigned  
Filed: July 19, 2000 )  
For: **COMPLIANT SUBSTRATE IN** )  
**PARTICULAR FOR** )  
**HETERO-EPITAXIAL DEPOSITING** )

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicant hereby submits the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

Accompanying this Disclosure Statement are duplicate copies of form PTO-1449. The Examiner is requested to consider the listed items and to return an initialed copy of the form to the Applicant. To assist the Examiner in entering the foregoing information into the record, copies of the listed documents are provided.

The Examiner should be aware that the listed art was cited by the French Patent Office in a search report relating to French Patent Application No. 98 01061, (dated January 30, 1998) from which priority is claimed. For the Examiner's convenience, a copy of the search report is enclosed.

RECEIVED  
AUG 31 2000  
TC 1700 MAIL ROOM

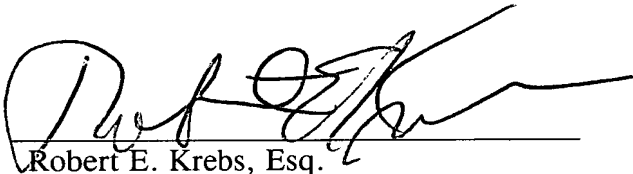
Two of the documents of the search report are in French but have correspondents in English. EP-A-0 412 002 corresponds to US 5,141,894 and FR-A-2 681 472 corresponds to US 5,374,564.

The documents are being submitted within three months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:



Robert E. Krebs, Esq.

Registration No. 25,885

P.O. Box 1404  
Alexandria, VA 22313-1404  
Phone: (650) 622-2300

Date: August 10, 2000



422 Rec'd PCT/PTO 14 AUG 2000

Patent  
Attorney's Docket No. 025219-268

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of )  
Bernard Aspar, et al. ) Group Art Unit: Unassigned  
Application No.: 09/600,590 ) Examiner: Unassigned  
Filed: July 19, 2000 )  
For: **COMPLIANT SUBSTRATE IN** )  
**PARTICULAR FOR HETERO-** )  
**EPITAXIAL DEPOSITING** )

**INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the  
above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$240.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), a petition requesting consideration of the  
information disclosure statement, and the petition fee of \$130.00 (122) as set forth  
in 37 C.F.R. § 1.17(i) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

RECEIVED  
AUG 31 2000  
TC 1700 MAIL ROOM

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R.  
§§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to  
Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 

Robert E. Krebs, Esq.  
Registration No. 25,885

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

Date: August 10, 2000

RECEIVED  
AUG 31 2000  
TC 1700 MAIL ROOM